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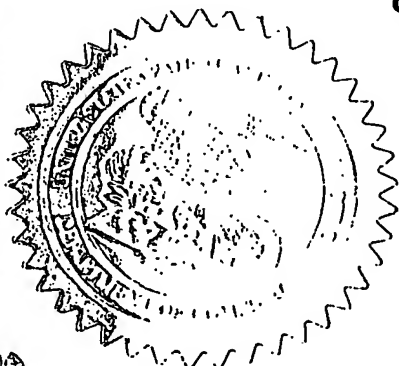
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PROVISIONAL APPLICATION COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION under 37 CFR 1.53(c).

Docket Number 243567US0PROV

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☐ Additional inventors are named on separately numbered sheets attached hereto.

TITLE OF THE INVENTION (280 CHARACTERS MAX)

PROCESS FOR THE PURIFICATION OF AQUEOUS PEROXYGEN SOLUTIONS, SOLUTIONS OBTAINABLE THEREBY AND THEIR USE

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METHOD OF PAYMENT

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ A check or money order is enclosed to cover the Provisional Filing Fees
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The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

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Respectfully Submitted,

10/8/03
DATE

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PROVISIONAL APPLICATION FILING ONLY

Process for the purification of aqueous peroxygen solutions, solutions obtainable thereby and their use

Background of the Invention

5 The present invention relates to a process for the purification of aqueous peroxygen solutions, in particular hydrogen peroxide solutions, especially those having concentrations of hydrogen peroxide between 5 and 70 % by weight, and particularly for the removal of organic contaminants to levels which can be below 1 mg Total Organic Carbon (TOC) per kg of solution.

Description of the related art

10 Most of the aqueous hydrogen peroxide solutions are currently made by the autoxidation technique. Typically an alkyl substituted anthraquinone is subjected cyclically to reduction with hydrogen, oxidation in air and extraction of hydrogen peroxide with water. Many variations of this manufacturing technique are known. After formation, the aqueous hydrogen peroxide can be
15 purified to some extent by distillation techniques. Although the manufacturing techniques differ markedly in their operation they share the common result that a solution of hydrogen peroxide so formed, even after distillation, contains significant amounts of residual impurities, ionic and non-ionic. Typical cationic impurities include (but not limited to) iron, aluminium, sodium, calcium, zinc,
20 magnesium and nickel. Typical anionic impurities include nitrate, phosphate, and pyrophosphate. In addition the nature of the autoxidation process is such that the resulting hydrogen peroxide solution contains significant organic impurities typically at concentrations above 50 mg/kg and occasionally as high as 600 mg/kg.

25 Aqueous hydrogen peroxide is an important raw material for the semiconductor and microelectronics industries. It is used in many of the processing steps that result in the finished semiconductor or component. The industry demands a high level of purity from the hydrogen peroxide solutions. Cationic and anionic contamination of the semiconductor process result in
30 lowered production yields and therefore higher costs. In addition it is known that trace levels of organic contamination can influence the semiconductor production processes. Thus the contaminant levels present in aqueous hydrogen

peroxide produced from the autoxidation process are unacceptably high and further purification is required to produce a semiconductor grade material.

5 EP 0930269A1 refers to a purification process whereby a reverse osmosis membrane is used to remove the bulk of contaminating species from hydrogen peroxide prior to treatment with ion exchange resins for final polishing. In this case the permeate from the reverse osmosis operation can have a Total Organic Carbon (TOC) content of 6 ppm.

US 4879043 refers also to the use of a reverse osmosis process producing a permeate of 17.4 ppm TOC.

10 The DERWENT[®] abstract of JP 2003001070 teaches that the reverse osmosis process could produce a TOC level of less than 3 ppm in a hydrogen peroxide solution.

The DERWENT[®] abstracts of JP 09071404 and JP 09071405 describe the use of ozone and ultraviolet light to achieve reduction of TOC content.

15 Summary of the invention

Those skilled in the art will note that it is difficult to reduce TOC levels below 1 mg/kg when challenged with hydrogen peroxide and especially with hydrogen peroxide produced by the autoxidation process.

20 The object of this invention is thus to present a means for producing a hydrogen peroxide solution having a TOC level less than 1 mg/kg and preferably less than 0.01 mg/kg which is close to the level expected by the semiconductor industry for ultrapure water which is used extensively in the semiconductor production process.

25 To this effect, the present invention is related to a process for the purification of aqueous peroxygen solutions comprising the treatment of an aqueous peroxygen solution with (a) at least one membrane purification step, (b) optionally at least one ion exchange purification step, (c) optionally at least one dilution step, and (d) at least one other purification step, all of which can be conducted in any sequence.

30 The present invention is also related to aqueous peroxygen solutions obtainable by the said process and containing less than 1 mg of TOC per kg peroxygen solution.

Description of the invention

35 One of the essential characteristics of the present invention resides in the combination of at least one membrane purification step with at least one other purification step which is different from an ion exchange purification step and

from a dilution step. By doing so, it has indeed been possible to eliminate a high amount of the contaminants present in peroxygen solutions. These contaminants can for instance result from the production process of the peroxygen. In the case of the autoxidation process for the production of hydrogen peroxide, the
5 contaminants can be organic hydrocarbon compounds containing functional groups such as alcohols, aldehydes and carboxylic acids as well as alkylated aromatics. Di isobutyl carbinol would be a typical alcohol and tetra methyl benzene would be a typical alkylated aromatic. It has been possible to decrease the content of organic impurities to amounts lower than 1 mg TOC per kg of
10 peroxygen solution, in particular to amounts lower than 0.1 mg TOC per kg peroxygen solution. In the best cases, the TOC level can be lowered to values of less than 0.01 mg TOC per kg of peroxygen solution.

The other purification step is different from an ion exchange purification step and from a dilution step. It can for instance be chosen from exposure to
15 ultraviolet light, exposure to ozone, contact with at least one adsorption resin or any combination thereof. Exposure to ultraviolet light, exposure to ozone, simultaneous exposure to ultraviolet light and ozone, and consecutive exposure to ultraviolet light and ozone give good results. Consecutive exposure first to ultraviolet light and then to ozone is preferred.

20 According to the process of the present invention, the other purification step can be carried out before or after the membrane purification step. It is preferred to carry out the other purification step after the membrane purification step.

The term "membrane purification step" is intended to denote any treatment
25 which allows the reduction of contaminant level in a peroxygen solution by the formation of a purer permeate. Generally, a flow of the contaminated peroxygen solution passes through the membrane and the solution collected after passing through is purified. Membrane purification can for instance be carried out as explained in the patent application EP 0930269.

30 The flux of the permeate peroxygen solution generally depends on the nature of the membrane, of the thickness of the membrane, of the purification level desired, and the applied pressure. The flux is usually at least 20 litres per hour and per m^2 of membrane surface, in particular at least 25 l/h m^2 . The flux is in many cases at most 50 l/h m^2 , especially at most 30 l/h m^2 .

The membrane used in the process of the invention is preferably chosen to be a reverse osmosis membrane. This membrane can be made of polyamides, polypiperazine amides, polyacrylonitriles or polysulfones.

When the other purification step is an exposure to ultraviolet (UV) light, the peroxygen solution is exposed to UV light. Without being bound to any theoretical explanation, it is believed that the UV light destroys certain organic molecules present in the solution and breaks them down to smaller units and ultimately to carbon dioxide. Any source of UV light can be used. UV lamps give the best results. The wavelength of the UV light used is generally below 300 nm, in particular below 200 nm. Wavelengths of about 185 nm are very convenient.

During the exposure to UV light, the peroxygen solution is contacted with the surface of the UV source, especially with the surface of the UV lamp. It is recommended to maximise the contact of the peroxygen solution with the UV lamp. An arrangement which is particularly useful is placement of the UV lamp in a quartz sleeve which forms the inner surface of an annulus through which the hydrogen peroxide solution flows.

Usually the duration of the exposure of the peroxygen solution to UV light is at least 1 minutes, in particular at least 5 minutes. The duration is usually at most 30 minutes, in most cases at most 15 minutes.

The exposure to UV light is generally carried out at a temperature from 0 to 25 °C, in particular from 5 to 20 °C, temperatures of about 10 °C being convenient.

When the other purification step is an exposure to ozone, the peroxygen solution is contacted with ozone in any adequate way to maximise the contact of the solution with the ozone. Without being bound to any theoretical explanation, it is believed that ozone oxidizes some contaminant molecules present in the peroxygen solution so that they are broken down to smaller units and ultimately to carbon dioxide, which is eliminated by providing sufficient residence time for disengagement which also allows the removal of excess ozone.

The exposure to ozone can be achieved by blowing gaseous ozone, under pressure, into the peroxygen solution so that ozone bubbles pass through the solution. The amount of ozone must be at least 2 moles for every mole of carbon atoms present as organic carbon. However, typically the addition rate is in excess of this stoichiometric ratio but is limited by the solubility of ozone in the hydrogen peroxide solution. The duration of the exposure to ozone is generally

at least 10 minutes, in particular at least 15 minutes. It is usually at most 30 minutes, especially at most 45 minutes.

The exposure to ozone is usually carried out at a temperature from 0 to 30 °C, in particular from 5 to 20 °C, temperatures of about 10 °C being
5 convenient.

When the other purification step is a contact with an adsorption resin, it is recommended to use a resin with a surface as high as possible. The purpose is to adsorb contaminants present in the peroxygen solution on the surface of the resin which is in contact with the peroxygen solution, so that the peroxygen solution
10 leaving the contact equipment no longer contains the adsorbed contaminants. A suitable equipment can for instance be a column packed with a bed of adsorption resin particles.

The adsorption resins can be chosen from any polymeric product, for example based on a styrene divinyl benzene copolymer. The adsorption resin
15 AMBERLITE® XAD4 commercialized by the company ROHM & HAAS gives good results.

The time during which the peroxygen solution is contacted with the adsorption resin is generally at least 10 minutes, in particular at least 40 minutes. It is in many cases at most 60 minutes, especially at most 50 minutes.

20 The contact with the adsorption resin is usually carried out at a temperature from 0 to 25 °C, in particular from 5 to 20 °C, temperatures of about 15 °C being convenient.

The peroxygen solution which is subjected to the purification process of the invention generally contains at least 5 % by weight of peroxygen, in
25 particular at least 30 % by weight of peroxygen, more particularly at least 55 %. The amount of peroxygen is usually at most 70 % by weight, especially at most 65 % by weight. Concentrations from 5 to 70 % by weight of peroxygen are the most common.

The peroxygen used in the present invention can be chosen from hydrogen
30 peroxide, water soluble peracids, and any other water soluble molecule containing a peroxygen group of formula -O-O-. Hydrogen peroxide is preferred. It is possible to treat hydrogen peroxide produced by the autoxidation process or by direct synthesis from oxygen and hydrogen. The process of the invention is especially useful for treating hydrogen peroxide solutions prepared
35 by the autoxidation process. In this case, the hydrogen peroxide solution is preferably subjected to a distillation treatment before being subjected to the

process of the invention. The hydrogen peroxide solution used in the process of the invention can also contain stabilisers and/or additives such as nitrates, phosphates, pyrophosphates, phosphoric acid, nitric acid or any combination thereof.

5 The process of the invention can also contain at least one ion exchange purification step. In such a step, the peroxygen solution is contacted with an ion exchange resin so that ionic impurities present in the peroxygen solution are replaced by the counterion such as H^+ or HCO_3^- present in the resin. Suitable equipment can for instance be a column packed with a bed of ion exchange resin particles, or same resins held within a matrix to form a membrane.

10 The ion exchange resin can be chosen from a variety of types. However for optimal removal of anionic TOC components an anion exchange resin in the bicarbonate form is preferred.

15 The process of the invention can also contain at least one dilution step. In such a step, water, preferably ultrapure water, is added to the aqueous peroxygen solution in order to decrease its concentration in peroxygen. Usually, the concentration in peroxygen is lowered down to values between 30 to 40 % by weight, for instance to a value of about 34 % by weight.

20 According to a special realisation form of the process of the invention, the treatment comprises, in the following sequence, (1) a membrane purification step, (2) a purification step chosen from exposure to ultraviolet light and/or exposure to ozone, (3) a dilution step, (4) an optional contact with an adsorption resin, (5) an optional ion exchange purification step. Advantageously, the process of the invention can additionally contain (6) a second optional ion exchange purification step, and (7) a purification step chosen from exposure to ultraviolet light and/or exposure to ozone.

25 In a particular realization which achieves high purity levels, the process of the invention is carried out according to the one schematized in figure 1. According to this particular process, an aqueous peroxygen solution, especially a hydrogen peroxide solution, preferably at a concentration of 55 to 65 % by weight (for instance about 60 % by weight), is pumped from a storage tank (1) via the conduit (2) into a housing (3) containing a membrane element, which is preferably a reverse osmosis membrane. A portion of the peroxygen solution passed through the membrane emerges as permeate via the conduit (4), the remainder passes across the membrane and leaves the housing (3) as reject material via the conduit (5). The permeate peroxygen solution has already a

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reduced TOC level. It is processed by additional steps to further reduce the TOC level, such as an exposure to ozone in the first variant, or an exposure to UV light in the second variant, or a contact with an adsorption resin in the third variant. According to the first variant (exposure to ozone), the permeate peroxygen solution is transferred via the conduits (4) and (6) into a suitable container (7). Gaseous ozone is introduced into the container (7) via the conduit (8). The gaseous ozone is bubbled through the peroxygen solution. The treated hydrogen peroxide leaves the container (7) via the conduit (9). According to the second variant (exposure to UV light), the permeate peroxygen solution is transferred via the conduits (4) and (10) into a housing (11) wherein it is exposed to UV light (12) preferably with a wavelength of about 185 nm. The treated peroxygen solution leaves the housing (11) via the conduit (13). According to the third variant (contact with an adsorption resin), the permeate peroxygen solution is transferred via the conduits (4) and (14) into a column (15) containing an adsorption resin. The treated peroxygen solution leaves the column (15) via the conduit (16). In all three variants, the treated peroxygen solution can optionally be transferred via the conduit (17) to a column (18) containing an ion exchange resin. The peroxygen solution passes through this resin and leaves the column (18) via the conduit (19) as final purified product, which can have a TOC level of as low as 0.01 mg/kg. It is to be understood that two of the three variants can be combined or the three variants can be combined.

The process of the invention allows to obtain purified aqueous peroxygen solutions containing less than 1 mg of Total Organic Carbon (TOC) per kg peroxygen solution. The present invention is therefore also related to aqueous peroxygen solutions obtainable by the process described above and containing less than 1 mg of Total Organic Carbon (TOC) per kg peroxygen solution. The TOC is measured by catalytic oxidation of the organic species in combination with detection by non-dispersive infra red spectroscopy.

The aqueous peroxygen solutions according to the invention contain advantageously less than 0,1 mg TOC per kg peroxygen solution, in particular less than 0.01 mg TOC per kg of peroxygen solution.

The aqueous peroxygen solutions according to the invention can be used in the manufacture of microelectronic components and semiconductors. The invention is therefore also related to the use of the aqueous peroxygen solutions in the manufacture of microelectronic components and semiconductors.

The invention is illustrated in the following examples.

Example 1 (according to the invention)

An aqueous solution of hydrogen peroxide at a concentration of 60 % by weight was fed to a reverse osmosis membrane at a temperature of 25 °C. A Hydranautics SWC1 membrane was used. At a feed pressure of 20 barg, a
5 permeate flow of 600 l/h was collected from a total feed flowrate of 850 l/h. The TOC value of the feed was 65 ppm, the resulting permeate contained 1.7 ppm. The permeate was then diluted to a concentration of 32 % using ultrapure water (having a TOC level of less than 1 ppb) and passed through a column of a Rohm & Haas XAD4 adsorption resin with a residence time of 20 min at a
10 temperature of 15 °C. The resulting hydrogen peroxide solution had a TOC level of 0.78 ppm.

Example 2 (according to the invention)

The aqueous hydrogen peroxide solution produced in example 1 was then passed through a cation exchange resin (Rohm & Haas A200) with a residence time of
15 20 min at 5 °C and then through an anion exchange resin (Rohm & Haas A900 in bicarbonate form) with a residence time of 5 min at 5 °C and the TOC had further reduced to 0.49 ppm.

CLAIMS

1 – A process for the purification of aqueous peroxygen solutions comprising the treatment of an aqueous peroxygen solution with (a) at least one membrane purification step, (b) optionally at least one ion exchange purification
5 step, (c) optionally at least one dilution step, and (d) at least one other purification step, all of which can be conducted in any sequence.

2 – The process according to claim 1 in which the aqueous peroxygen solution is a hydrogen peroxide solution.

3 – The process according to claim 2, in which the hydrogen peroxide
10 solution has a concentration before treatment of 5 to 70 % by weight of hydrogen peroxide.

4 – The process according to claim 1, in which the membrane is a reverse osmosis type membrane.

5 – The process according to claim 1, in which the other purification step is
15 chosen from exposure to ultraviolet light, exposure to ozone, contact with at least one adsorption resin, or any combination thereof.

6 – The process according to claim 1, in which the treatment comprises, in the following sequence, (1) a membrane purification step, (2) a purification step chosen from exposure to ultraviolet light and/or exposure to ozone, (3) a dilution
20 step, (4) an optional contact with an adsorption resin, (5) an optional ion exchange purification step.

7 – The process according to claim 6, in which the treatment additionally contains (6) a second optional ion exchange purification step, and (7) a purification step chosen from exposure to ultraviolet light and/or exposure to
25 ozone.

8 – An aqueous peroxygen solutions obtainable by the process of claim 1 and containing less than 1 mg of Total Organic Carbon (TOC) per kg peroxygen solution.

9 – The aqueous peroxygen solutions according to claim 8 containing less
30 than 0,1 mg TOC per kg peroxygen solution.

- 10 -

10 – A method of use of the aqueous solutions according to claim 8 or 9 in the manufacture of microelectronic components and semiconductors.

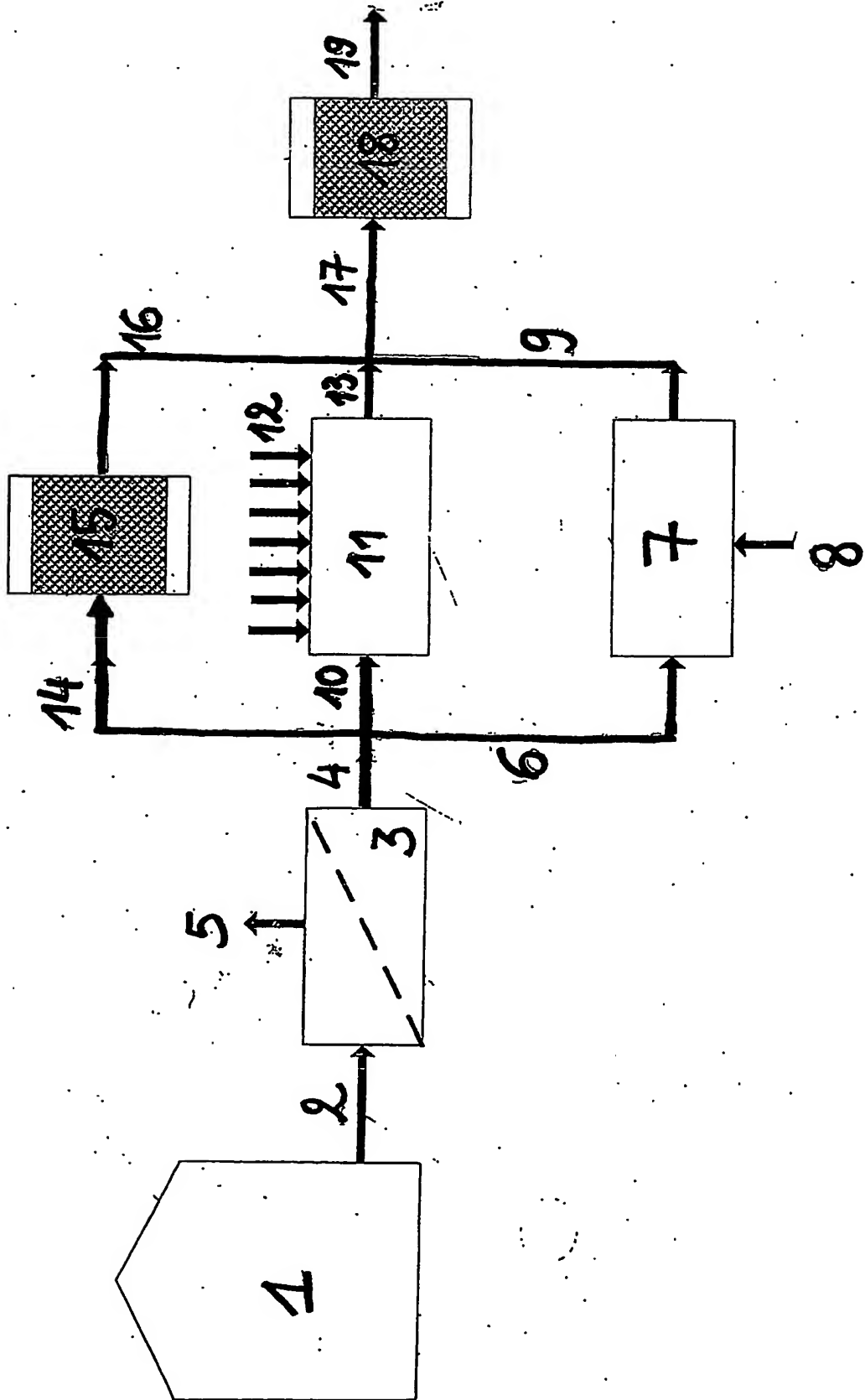
ABSTRACT

Case S 2003/31 – Process for the purification of aqueous peroxygen solutions, solutions obtainable thereby and their use

Process for the purification of aqueous peroxygen solutions comprising the treatment of an aqueous peroxygen solution with (a) at least one membrane purification step, (b) optionally at least one ion exchange purification step, (c) optionally at least one dilution step, and (d) at least one other purification step, all of which can be conducted in any sequence. Aqueous peroxygen solutions having a TOC level of less than 1 mg/kg can be obtained by this process. They can be used in the manufacture of semiconductors.

Figure one.

Figure 1



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